

## Financial Conflict of Interest on Grants: Disclosure Statement

This form must be completed prior to submission of a proposal for external research support and for research projects where the funding agency requires a disclosure by all personnel who are responsible for the design, conduct, or reporting of project activities. If you answer "yes" to any question below, you are encouraged to provide further information in a separate document. Please complete the form and submit to [grants@siumed.edu](mailto:grants@siumed.edu) prior to submission of the grant proposal.

Name: \_\_\_\_\_

Department: \_\_\_\_\_

Email: \_\_\_\_\_

Phone: \_\_\_\_\_

Project SIUSOM PI: \_\_\_\_\_

PI Department: \_\_\_\_\_

Proposal Deadline: \_\_\_\_\_

Funding Agency: \_\_\_\_\_

Proposal Title: \_\_\_\_\_

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This Financial Conflict of Interest on Grants Disclosure Statement is to be completed in compliance with **SIU School of Medicine's Financial Conflict of Interest on Federal Grants Policy**. Answering "yes" to any of the following questions does not mean the financial interest is inappropriate or improper. However, disclosure and evaluation, and in some cases, approval and oversight, are required. All thresholds listed below are an aggregate for the investigator, his/her spouse or domestic partner, and dependent children. These questions apply to companies that could reasonably appear to be related to the proposed research.

**1. Financial Interest in Publicly Traded Companies**

**YES**

**NO**

Over the past 12 months, have you received income or obtained other financial interest in excess of \$5,000 from a publicly traded company that is in any way related to or that might be affected by your proposed research activities?

**2. Financial Income from Non-Publicly Traded Companies**

**YES**

**NO**

Over the past 12 months, have you received income in excess of \$5,000 from a non-publicly traded company that is in any way related to or that might be affected by your proposed research activities?

**3. Equity Interests in Non-Publicly Traded Companies**

**YES**

**NO**

Over the past 12 months, have you held any equity interest in a non-publicly traded company that is in any way related to or that might be affected by your proposed research activities?

**4. Intellectual Property**

**YES**

**NO**

Have you ever received any income from intellectual property rights and interests from any companies in any way related to or that might be affected by your proposed research activities?

**5. SBIR/STTR Phase II**

**YES**

**NO**

Have you ever held any equity interest in a SBIR/STTR Phase II funded company that is in any way related to or that might be affected by your proposed research activities?

**6. Paid Travel by Companies**

**YES**

**NO**

Over the past 12 months, have you participated in sponsored travel that has been paid for or reimbursed by any entity **other than** a U.S. federal, state, or local government, an institution of higher education, an academic teaching hospital, a medical center, or a research institute associated with an institution of higher education?

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**Investigator Statement:** I have read and understand SIU School of Medicine's Financial Conflict of Interest on Federal Grants Policy and have made all required financial interest disclosures; will submit a proposal for a Conflict of Interest Management Plan if necessary; and will comply with any conditions or restrictions imposed by SIUSOM to eliminate, reduce, or manage conflicts of interest regarding my research.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_